## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e application of: Pelrine, et al.

Application No.: 09/779,373

Filed: February 7, 2001,

Title: ENERGY EFFICIENT ELECTROACTIVE
POLYMERS AND ELECTROACTIVE POLYMER

**DEVICES** 

Attorney Docket No.: SRI1P029

Examiner: To Be Assigned

Group: 2834

CERTIFICATE OF MAILING

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231, on December 11, 2001.

Signed:

Deborah Neill

INFORMATION DISCLOSURE STATEMENT WITH STATEMENT
UNDER 37 CFR §§1.56 AND 1.97

Commissioner for Patents Washington, DC 20231

Dear Sir:

The references listed in the attached PTO Form 1449, copies of which are enclosed, may be material to the examination of the above-identified patent application. These references were cited in a corresponding PCT application. A copy of the PCT Search Report, which was dated November 20, 2001, is enclosed herewith, as well. These references are submitted in compliance with the applicant's duty of disclosure pursuant to 37 CFR §§1.56 and 1.97. Accordingly, the Examiner is requested to make these citations official record in this application.

This Information Disclosure Statement is not to be construed as a representation that additional information (material to the examination of this application) does not exist or that the enclosed reference(s) constitute prior art.

## Statement under 37 CFR § 1.97(e)

The undersigned hereby states that the references enclosed herewith were cited in a communication from a foreign patent office in a coutnerpart foreign application not more than three months prior to the filing of the Information Disclosure Statement. (37 CFR 1.97(e)(1))

Accordingly, it is respectfully submitted that no fee is due in conjunction with the filing of this IDS. However, should the Commissioner determine that additional fees are required for

filing the present Information Disclosure Statement, the Commissioner is hereby authorized to charge any such fees to our deposit account number 500388 (Order No. SRI1P029).

Respectfully submitted, BEYER WEAVER & THOMAS, LLP

William J. Plut

Limited Recognition Under 37 C.F.R. §10.9(b)

P.O. Box 778 Berkeley, CA 94704-0778



Form 1449 (Modified)

**Information Disclosure Statement By Applicant** 

(Use Several Sheets if Necessary)

Atty Docket No.

**SRI1P029** 

Application No.: 09/779,373

Applicant: Pelrine, et al.

Filing Date

**February 7, 2001** 

Group 2834

**U.S. Patent Documents** 

Examiner						Sub-	Filing
Initial	No.	Patent No.	Date	Patentee	Class	class	Date
	A	3,801,839	04/02/74	Yo			12/01/72
	В	4,051,395	09/27/77	Taylor		<u> </u>	08/08/75
	C						
	D						
	E						
	F						
	G						

Foreign Patent or Published Foreign Patent Application

Examiner		Document	Publication	Country or		Sub-	Trans	slation
Initial	No.	No.	Date	Patent Office	Class	class	Yes	No
	H	WO 98/45677	10/15/98	PCT			X	
	I	DE 19636909 C1	03/26/98	Germany			<del>                                     </del>	X
	J					† <del></del>	<u> </u>	11
	K				<del>                                     </del>			<del> </del>
	L				<del> </del>		<del>                                     </del>	<del></del>

**Other Documents** 

-	$\overline{}$	Cite Documents
Examiner		
Initial	No.	Author, Title, Date, Place (e.g. Journal) of Publication
	M	, , , , , , , , , , , , , , , , , , ,
	N	
	0	
Examiner	<u>L</u>	Date Considered
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Examiner: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.